

Compliance and Certification Committee Nomination Form

In the capacity of a North American Electric Reliability Corporation (NERC) Board of Trustees (Board)-appointed stakeholder committee serving and reporting directly to the NERC Board, the Compliance and Certification Committee (CCC) will engage with support, and advise the NERC Board and NERC Staff regarding all facets of the NERC Compliance Monitoring and Enforcement Program, Organization Registration Program, and Organization Certification Program.

The CCC has an open nomination process. Individuals or recognized industry groups may nominate candidates for consideration. A nominee that represents a NERC member may only be nominated for a sector that matches the NERC member’s sector under the NERC membership list (to check a NERC member’s sector, please consult the [NERC membership list](#)).

Please review the CCC Charter for information regarding the responsibilities of CCC members.

Nominee Information	
Name	Alice Ireland
Organization	Tri-State Generation and Transmission Assoc., Inc.
NERC Compliance Registry (NCR)	NCR10030
Sector Membership	Cooperative Utility
Title	Sr. Manager, Reliability Compliance
Department, Division, or Unit	Reliability Compliance
Email Address	aireland@tristategt.org
Telephone	(303)254-3120
Brief biographical sketch of nominee including present position	Alice has worked in the utility industry for 21 years. She spent 14 years working for Xcel Energy in various roles including the Standards of Conduct Compliance Program Manager and the Reliability Standards Compliance Manager. She currently leads the Reliability Compliance department for Tri-State Generation and Transmission which is responsible for oversight across the company for both CIP and O&P standards compliance.
Description of nominee’s general compliance experience/knowledge (e.g. regional council compliance committee, corporate compliance, etc.)	Alice has extensive experience in conducting internal compliance assessments including: pre/post implementation of new systems, new standards (such as the transition to CIP v4/5), high risk requirements, and leading mock audits. Alice has also presented externally (e.g. NATF, WICF, MRO SAC, etc.) on various compliance matters (such as when a region’s

	<p>audit approach changes), and has collaborated formally and informally on multiple occasions with regional and NERC compliance staff (such as during the development of compliance guidance related to BCSI encryption in the cloud). Alice is currently leading Tri-State’s participation in a collaborative pilot effort by regional CIP compliance and risk teams, whereby they are following up on any audit-related Areas of Concern and Recommendations (to provide a forum for outreach, as well as keep the risk team informed of any changes in risk posture).</p>
<p>Description of nominee’s compliance enforcement experience/knowledge (e.g. regulatory process, ERO rules of procedure, NERC sanctioning guidelines etc.)</p>	<p>Alice has served as a liaison between her company and the regions (WECC, MRO & SPP) on enforcement matters for the past 13 years. All matters were resolved in collaboration with the region, without a hearing.</p>
<p>Description of nominee’s compliance administration/management experience/knowledge (e.g. setting up or participation in corporate compliance program)</p>	<p>Alice is a certified Corporate Compliance and Ethics Professional since June 2015. She has extensive experience in creating and maturing internal compliance programs in the areas of FERC Standards of Conduct, NERC CIP and O&P Reliability Standards, and Fair Credit Reporting. Throughout all of this, Alice has created the framework for oversight and management of compliance, guided and assisted in the development of internal controls and associated framework, served as a champion for change where processes/controls are weak, lacking or disparate across the organization, created and managed Technical Feasibility Exceptions, led 7 regional compliance audits (participated in 7 additional), participated in a NERC-region investigation, guided internal IT & OT project teams during implementation of new software/hardware (e.g. M365, PACS replacement, EMS major upgrade, Access Tracking System, etc.), etc.</p>
<p>Description of nominee’s organizational certification experience/knowledge (e.g. ERO registry criteria familiarity/interpretation etc.)</p>	<p>Alice is intimately familiar with the NERC registration and Regional certification criteria. She has led and provided consultation on various matters involving registration issues/changes, and has led a re-certification after a major EMS upgrade.</p>
<p>Description of nominee’s general NERC experience/background (e.g. NERC/RRO Committees, Standard Drafting Teams,</p>	<p>Alice is currently participating in the following external initiatives:</p>

<p>Task Force, etc.)</p>	<ul style="list-style-type: none"> • Led a team to develop compliance guidance related to BCSI in the cloud (utilizing encryption)-currently under ERO consideration • Member of the NERC Low Impact Criteria Review Team <p>Alice is/has served on various committees/group, including:</p> <ul style="list-style-type: none"> • NERC Standards Committee (proxy 2008-2010, member 2010-2013) • NERC Process Subcommittee (2010-2013) • Western Interconnection Compliance Forum Steering Committee (2010-2013) • NERC Critical Infrastructure Protection Committee (2018-2020) • NERC Compliance Input Working Group/ Security Working Group (member and sub-team lead 2018-current)
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Sector Information	
<p>Membership sector(s) that nominee is nominated for and is qualified to represent</p>	<ul style="list-style-type: none"> <input type="checkbox"/> Sector 1 – Investor-owned Utility <input type="checkbox"/> Sector 2 – State/Municipal Utility <input checked="" type="checkbox"/> Sector 3 – Cooperative Utility <input type="checkbox"/> Sector 4 – Federal or Provincial Utility/Power Marketing Administration <input type="checkbox"/> Sector 5 – Transmission-dependent Utility <input type="checkbox"/> Sector 6 – Merchant Electricity Generator <input type="checkbox"/> Sector 7 – Electricity Marketer <input type="checkbox"/> Sector 8 – Large End Use Electricity Customer <input type="checkbox"/> Sector 9 – Small End Use Electricity Customer <input type="checkbox"/> Sector 10 – ISO/RTO <input type="checkbox"/> Sector 12 – Government Representatives <ul style="list-style-type: none"> <input type="checkbox"/> U.S. State <input type="checkbox"/> U.S. Federal <input type="checkbox"/> Canadian Federal <input type="checkbox"/> Canadian Provincial <input checked="" type="checkbox"/> At-Large

Nominator Information

Nominated by (please check one)	<input type="checkbox"/> Individual <input type="checkbox"/> Organization <input checked="" type="checkbox"/> Self-nomination
Name	
Organization	
Email Address	
Telephone	